



IFW Receipt

Docket No.: WEB-7765 D

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By: 

Date: January 11, 2005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applic. No. : 10/601,542
Applicant : Christian Noe, et al.
Filed : June 23, 2003
Art Unit : 1614
Docket No. : WEB-7765 D
Customer No. : 24131

Confirmation No: 7818

REQUEST FOR FILING RECEIPT CORRECTION

Hon. Commissioner of Patents and Trademarks,

Sir:

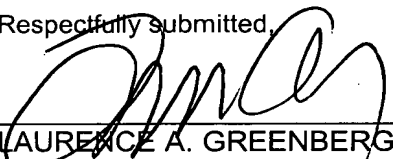
Undersigned counsel has received the Filing Receipt for the above-identified application.

However, the Assignment for Published Patent information was listed incorrectly and should be as follows:

**Sofotec GmbH & Co. KG
Benzstraße 1
D-61352 Bad Homburg
Germany**

It is respectfully requested that the Patent Office Records be changed and that a new Filing Receipt be issued, so that the printed patent will show the correct Assignment for Published Patent information.

Respectfully submitted,


LAURENCE A. GREENBERG
REG NO. 29,308

Enclosed: Copy of the Filing Receipt
Copy of the Notice of Recordation of Assignment

/av

Lerner Greenberg Sterner LLP
Post Office Box 2480
Hollywood, FL 33022-2480
Tel: (954) 925-1100
Fax: (954) 925-1101

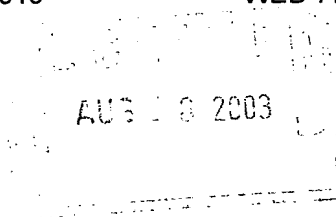


UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER OF PATENTS AND TRADEMARKS
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Alexandria, Virginia 22313-1450
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APPL NO.	FILING OR 371 (c) DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/601,542	06/23/2003	1614	519	WEB-7765 D		36	3

LERNER AND GREENBERT P.A.
P.O. Box 2480
Hollywood, FL 33022



CONFIRMATION NO. 7818

FILING RECEIPT



OC000000010710824

Date Mailed: 08/15/2003

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

Applicant(s)

Christian Noe, Frankfurt Am Main, GERMANY;
Ernst Mutschler, Mainz, GERMANY;
Gunter Lambrecht, Mainz, GERMANY;
Michael Elgert, Residence Not Provided, Deceased;
Ruth Irene Elgert, Frankfurt Am Main, GERMANY, Legal Representative;
Sittah Czeche, Gotha, GERMANY;
Magali Waelbroeck, Bruxelles, BELGIUM;

Assignment For Published Patent Application

Christian Noe;
Ernst Mutschler;

Domestic Priority data as claimed by applicant

This application is a DIV of 09/901,217 07/09/2001 PAT 6,613,795
which is a CIP of 09/309,960 05/11/1999 PAT 6,307,060

Foreign Applications

AUSTRIA A 1973/96 11/11/1996

If Required, Foreign Filing License Granted: 08/15/2003

Projected Publication Date: 11/27/2003

Non-Publication Request: No

Early Publication Request: No

**** SMALL ENTITY ****

Title

Enantiomerically pure basic arylcycloalkylhydroxycarboxylic esters, processes for their preparation and their use in medicaments

Preliminary Class

514

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

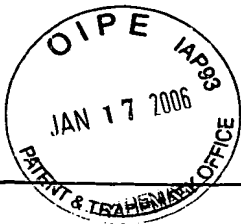
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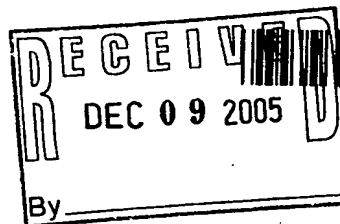
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UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND
DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

NOVEMBER 15, 2005

LERNER AND GREENBERG, P.A.
P.O. BOX 2480
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PTAS



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Web-77050

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 571-272-3350. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, MAIL STOP: ASSIGNMENT SERVICES DIVISION, P.O. BOX 1450, ALEXANDRIA, VA 22313.

RECORDATION DATE: 07/19/2005

REEL/FRAME: 016769/0851
NUMBER OF PAGES: 5

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:
NOE, CHRISTIAN

DOC DATE: 03/19/2004

ASSIGNOR:
MUTSCHLER, ERNST

DOC DATE: 05/10/2004

ASSIGNEE:
SOFOTEC GMBH & CO. KG
WEISMUELLERSTRASSE 45
FRANKFURT, 60314, GERMANY

SERIAL NUMBER: 10601542

FILING DATE: 06/23/2003

PATENT NUMBER:

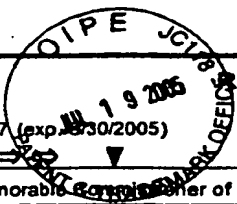
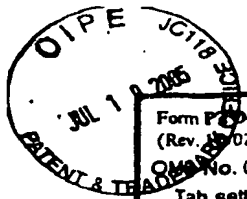
ISSUE DATE:

TITLE: ENANTIOMERICALLY PURE BASIC ARYLCYCLOALKYLHYDROXYCARBOXYLIC ESTERS, PROCESSES FOR THEIR PREPARATION AND THEIR USE IN MEDICAMENTS

SERIAL NUMBER: 09309960 FILING DATE: 05/11/1999
PATENT NUMBER: 6307060 ISSUE DATE: 10/23/2001
TITLE: ENANTIOMERICALLY PURE BASIC N-HETEROCYCLIC
ARYLCYCLOALKYLHYDROXYCARBOXYLIC ESTERS, PROCESSES FOR THEIR
PREPARATION AND THEIR USE IN MEDICAMENTS

SERIAL NUMBER: 09901217 FILING DATE: 07/09/2001
PATENT NUMBER: 6613795 ISSUE DATE: 09/02/2003
TITLE: ENANTIOMERICALLY PURE BASIC ARYLCYCLOALKYLHYDROXYCARBOXYLIC ESTERS,
PROCESSES FOR THEIR PREPARATION AND THEIR USE IN MEDICAMENTS

THERESA FREDERICK, EXAMINER
ASSIGNMENT DIVISION
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7/19/05

Form PTO-1595
(Rev. 10/02)

QMA No. 0651-0027 (exp. 03/30/2005)

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RECOR

07-22-2005

U.S. DEPARTMENT OF COMMERCE
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103047236

inal documents or copy thereof.

To the Honorable Commissioner of Patents

1. Name of conveying party(ies):

- 1. Christian Noe
- 2. Ernst Mutschler

2. Name and address of receiving party(ies)

Name: Sofotec GmbH & Co. KG

Internal Address: _____

Additional name(s) of conveying party(ies) attached? ☐ Yes ☒ No

3. Nature of conveyance:

- ☒ Assignment ☐ Merger
- ☐ Security Agreement ☐ Change of Name
- ☐ Other _____

Street Address: Weismuellerstrasse 45

Frankfurt, 60314, Germany

City: _____ State: _____ Zip: _____

Execution Date: 03/19/2004 for 1 - 05/10/2004 for 2

Additional name(s) & address(es) attached? ☐ Yes ☒ No

4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: _____

A. Patent Application No.(s)
10/601,542

B. Patent No.(s)
6,307,060 - 6,613,795

Additional numbers attached? ☐ Yes ☒ No

5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Lerner and Greenberg, P.A.

Internal Address: _____

6. Total number of applications and patents involved: 3

7. Total fee (37 CFR 3.41).....\$ 120.00

☒ Enclosed

☐ Authorized to be charged to deposit account

8. Deposit account number: _____

(Attach duplicate copy of this page if paying by deposit account)

07/19/2005 LWDNDIM1 00000011 10601542

01 FC:021 120.00 DP

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City: Hollywood State: FL Zip: 33020-2480

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9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Werner H. Sterner (34,956)

Name of Person Signing

Signature

February 17, 2005

Date

Total number of pages including cover sheet, attachments, and documents: 5

Mail documents to be recorded with required cover sheet information to:

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/bb